

**18 NCAC 06A .2043 MANDATORY CONTENT REQUIREMENT IN PERMITTED ADVERTISING NOTICE**

An advertising notice may advertise the issuer's securities offering only if the notice:

- (1) directs prospective investors to the platform (or website, if any) where the disclosure document is posted. NOTE: For example, an active hyperlink to the disclosure document would be a way of directing prospective investors to the platform (or website, if any);
- (2) includes a disclaimer that sales under the Exemption are restricted to North Carolina residents. NOTE: For example, the words "NC residents only" is a way of wording the disclaimer; and
- (3) includes no more information than that permitted by Rule .2044 of this Section.

*History Note:* Authority G.S. 78A-17.1(a)(5); 78A-17.1(f); 78A-49(a); 78A-49(d); 78A-64; S.L. 2016-103, s.4.(a);  
Eff. April 1, 2017.